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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 750.00

## Complete if Known

Application Number

Filing Date

June 26, 2003

First Named Inventor

ARAI, HIDEO

Examiner Name

Art Unit

Attorney Docket No.

500.30304C14

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 01-2135

Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP

The Commissioner authorized to: (check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid |
|----------|-----------------------|-----------------------|---|----------|
| 1051     | 130                   | 2051                  | 65 Surcharge - late filing fee or oath  |          |
| 1052     | 50                    | 2052                  | 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130                   | 1053                  | 130 Non-English specification   |          |
| 1812     | 2,520                 | 1812                  | 2,520 For filing a request for <i>ex parte</i> reexamination                  |          |
| 1804     | 920*                  | 1804                  | 920* Requesting publication of SIR prior to Examination action                |          |
| 1805     | 1,840*                | 1805                  | 1,840* Requesting publication of SIR after Examiner action                    |          |
| 1251     | 110                   | 2251                  | 55 Extension for reply within first month                                     |          |
| 1252     | 410                   | 2252                  | 205 Extension for reply within second month                                   |          |
| 1253     | 930                   | 2253                  | 465 Extension for reply within third month                                    |          |
| 1254     | 1,450                 | 2254                  | 725 Extension for reply within fourth month                                   |          |
| 1255     | 1,970                 | 2255                  | 985 Extension for reply within fifth month                                    |          |
| 1401     | 320                   | 2401                  | 160 Notice of Appeal  |          |
| 1402     | 320                   | 2402                  | 160 Filing a brief in support of an appeal                                    |          |
| 1403     | 280                   | 2403                  | 140 Request for oral hearing  |          |
| 1451     | 1,510                 | 1451                  | 1,510 Petition to institute a public use proceeding                           |          |
| 1452     | 110                   | 2452                  | 55 Petition to revive - unavoidable   |          |
| 1453     | 1,300                 | 2453                  | 650 Petition to revive - unintentional  |          |
| 1501     | 1,300                 | 2501                  | 650 Utility issue fee (or reissue)  |          |
| 1502     | 470                   | 2502                  | 235 Design issue fee  |          |
| 1503     | 630                   | 2503                  | 315 Plant issue fee   |          |
| 1406     | 130                   | 1460                  | 130 Petitions to the Commissioner   |          |
| 1807     | 50                    | 1807                  | 50 Processing fee under 37 CFR 1.17(a)  |          |
| 1806     | 180                   | 1806                  | 180 Submission of Information Disclosure Stmt                                 |          |
| 8021     | 40                    | 8021                  | 40 Recording each patent assignment per property (times number of properties) |          |
| 1809     | 750                   | 2809                  | 375 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 1810     | 750                   | 2810                  | 375 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 1801     | 750                   | 2801                  | 375 Request for Continued Examination (RCE)                                   |          |
| 1802     | 900                   | 1802                  | 900 Request for expedited examination of a design application                 |          |

Other fee (specify) \_\_\_\_\_

### SUBTOTAL (1)

750.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Large Fee (\$) | Entity Fee (\$) | Small Fee (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
|--------------------|----------------|-----------------|----------------|-----------------|-----------------|----------|
| Total Claims       | 14             | -20** = 0       | x 18           | = 0             |                 |          |
| Indep. Claims      | 3              | -3** = 0        | x 84           | = 0             |                 |          |
| Multiple Dependent |                |                 |                | = 0             |                 |          |

| Large Fee Code (\$) | Entity Fee Code (\$) | Small Fee Code (\$) | Entity Fee Code (\$) | Fee Description  |
|---------------------|----------------------|---------------------|----------------------|--|
| 1202                | 18                   | 2202                | 9                    | Claims in excess of 20                                     |
| 1201                | 84                   | 2201                | 42                   | Independent claims in excess of 3                          |
| 1203                | 280                  | 2203                | 140                  | Multiple dependent claim, if not paid                      |
| 1204                | 84                   | 2204                | 42                   | ** Reissue independent claims over original patent         |
| 1205                | 18                   | 2205                | 9                    | ** Reissue claims in excess of 20 and over original patent |

### SUBTOTAL (2) \$ 0

\*or number previously paid, if greater; For Reissues, see above.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

### SUBMITTED BY

| Name (Print/Type) | Registration No. (Attorney/Agent) | 22,466 | Telephone | (703) 312-6600 |
|-------------------|-----------------------------------|--------|-----------|----------------|
| Signature         |                                   |        | Date      | June 26, 2003  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9159 (1-800-786-9199) and select option 2.

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|---|--|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b>           |  | Attorney Docket No. <b>500.30304C14</b>  |  |
|   |  | First Inventor <b>ARAI, HIDEO</b>  |  |
|   |  | Title <b>DIGITAL TRANSMISSION SIGNAL PROCESSING SYSTEM<br/>AND RECORDING/ REPRODUCING SYSTEM</b> |  |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) |  | Express Mail Label No.   |  |

  

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|--|---|
| <b>APPLICATION ELEMENTS</b><br><br><b>SEE MPEP chapter 600 concerning utility patent application contents.</b> | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
|--|---|

  

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|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i><br><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br><br>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">(Total Pages: <b>53</b>)</span><br><i>(Verbal description set forth below)</i><br>-Descriptive title of the invention<br>-Cross Reference to Related Applications<br>-Statement Regarding Fed sponsored R & D<br>-Reference to sequence listing, a table,<br>or a computer program listing appendix<br>-Background of the invention<br>-Brief Summary of the invention<br>-Brief Description of the Drawings (if filed)<br>-Detailed Description<br>-Claim(s)<br>-Abstract of the Disclosure<br><br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">(Total Pages: <b>18</b>)</span><br><br>5. Oath or Declaration <span style="float: right;">(Total Pages: <b>2</b>)</span><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><i>(for continuation/divisional with Box 18 completed)</i><br><br>c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>Named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)<br><br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)<br>18. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing only:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies<br><br><div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <b>ACCOMPANYING APPLICATION PARTS</b><br/><br/>         9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))<br/>         10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/> <i>(when there is an assignee)</i><br/>         11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br/>         12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations<br/>         Statement (IDS)/PTO-1449<br/>         13. <input type="checkbox"/> Preliminary Amendment<br/>         14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/> <i>(Should be specifically itemized)</i><br/>         15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/> <i>(if foreign priority is claimed)</i><br/>         16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br/> <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br/>         or its equivalent.</i><br/>         17. <input checked="" type="checkbox"/> Other: <b>Reaffirmation of Claim for Priority</b> </div> |
|---|--|

  

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application No.: 10/277,830

**Prior application information:** Examiner: **L. Dink** Group Art Unit: **2653**

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|   |  |  |  |                           |
|---|--|--|--|---------------------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  | 020457<br><i>(Insert Customer No. or Attach bar code label here)</i> | or <input type="checkbox"/> Correspondence address below |                           |
| Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>                  |  |  |  |                           |
| Address   |  |  |  |                           |
| City  |  | State  |  | Zip Code                  |
| Country   |  | Telephone <b>(703) 312-6600</b>                                      |  | Fax <b>(703) 312-6666</b> |
| Name <b>Melvin Kraus</b>  |  | Registration No. (Attorney/Agent) <b>22,486</b>                      |  |                           |
| Signature <i>Melvin Kraus</i>   |  | Date <b>JUNE 26, 2003</b>  |  |                           |